

Meeting Name: Symbology Committee

Date: Wednesday December 10, 2008

Attendees: Peter Fetiak, John Jacik, Dan Jersky, Kimberly Koppien (AMEX), Rich Romano (Ascend Consulting), Sean Conahan (Barclays Capital), Michael LeCouffe, Nicholas Losurdo, Carl Miedaner, Robert Petro, Todd Treworgy, Denis Vallee (BOX), Pat Scaglione (Broadridge), Jim Hohn (Canadian Derivatives), Steve Chodash (CBOE), Nancy Adams, Kevin Miller (Charles Schwab), Ashley Ahl (Citadel Solutions), Antone Granada, Larry Hart (Credit-Suisse), Greg Hayashida (Citrade), Michael Bedosky (Deutsche Bank), Susan Newton, Glenn Orlosky (Fidelity), Frank Piri (Goldman Sachs), Stephen MacDonald (IBM), Arun Vasu Nair (Infosys), Jill Bolen, Brian Higgins (Interactive Data), Brian Smith, Dana Stopczynski (ION Trading), Mark Grinbaum (ISE), Tina Carfi, Kimberly Kennedy, Vincent Mattera, Bill Metzger (JP Morgan), Patricia Mayo, Peter Peluso (Merrill Lynch), Norman Brower, Jonathan Weiner (Morgan Stanley), Ken Hawley, John Shelton (NASDAQ OMX PHLX), Erin Collins, Dave Harrison, Karen Glad, Mary Kay Kulinski, Geri Love, Doreen Scheulin (OCC), Tom Brown (Omgeo), Dwayne Rincon (Pershing LLC), Jim Knight (Raymond James), Christopher Cavaliere, Tiffany Ente, Jose Varughese (RBC Capital Market), Melissa Carannante, Mike Collazo, Brian Faughnan (SIAC), Rich Bommer (SIFMA), Mary Hanan, Michael McAllister (Southwest), Denise Martin (Stephens), Lisa Cardwell, Frank Williams (Sungard), David Koba (Susquehanna), Steve Woodward (TD Ameritrade), Brian Ramdial (TD Wealth), Daniel Jean-Baptiste, Richard Motola (UBS), John Coverly (WestWater), Michael Dorman (William Blair).

Key Discussion Points:

Agenda

Industry Updates

Dave Harrison, OCC, opened up the meeting stating that an email went out this morning regarding the OCC InfoMemo on the OSI Implementation Dates. Dave recapped the OCC Board of Directors meeting on Tuesday, 12/02/08 stating that the board has unanimously confirmed OCC's commitment to the current implementation date of February 12, 2010. The InfoMemo will be sent to the SIFMA committee and will be published on the OSI website @ www.optionsclearing.com.

A couple of questions were raised to whether OCC's Senior Management would consider a townhall type meeting to discuss this issue. Another question was raised as to if the 12/02/08 Board of Directors Meeting minutes could be published to this committee. Dave Harrison, OCC, stated that he would look into both issues and get back to this committee.

Scripted Industry Testing Sub-Committee Status

Karen Glad, OCC stated that the consolidation plan had been published on the OSI website. She has received some feedback and will add a little more clarification to this plan. Karen hopes to have the revised consolidation plan republished soon. Karen stated that if anyone was interested in obtaining the sample DDS consolidation messages to either email Karen @ kglad@theocc.com or Mary Kay Kulinski @ mkulinski@theocc.com, or go to the OSI website and download them.

Karen reported at the last Scripted Industry Testing Sub-committee that the focus was on having everyone getting ready for testing, especially with external parties. Karen stressed that scripted industry testing can not be the 1st time you test with external parties. Karen reported testing can begin early and the following are links from the OSI website with details on scripted industry testing effort.

[OSI Scripted Industry Test Dates](#)

[OSI Testing Scenarios](#)

NYSE Arca Implementation Plan Update

Kim Koppin, NYSE Arca, reported that there was not an implementation plan section on their website, hopefully in the near future. Live testing will begin in February, 2009 with fix order and both formats. Testing with firms must be scheduled in advance.

NASDAQ Symbology Update Link

The link has been provided to the rule filing that was approved by the SEC. This plan is different than the one submitted earlier and will have no impact to OSI.

Firm Issues/Concerns

Brian Higgins from Interactive Data asked about OPRA providing explicit expiration date on 01/06/09, and if all the Exchanges would be in compliance. Steve Chodash, CBOE stated that CBOE would not be providing explicit expiration date on 01/06/09.

The Vendor Subcommittee is on hold. Currently looking for a Broker Dealer co-chair, anyone interested please contact Erin Collins @ ecollins@theocc.com. More information to follow.

Reuters Update – information has been posted to their website.

Bloomberg Update – there was not a representative from Bloomberg on the call. OCC will contact their Bloomberg representative and get back to this committee with their update.

Next Meeting: Conference Call – January 7, 2009 – 9:00 AM, CT (10:00 AM, ET)

Call in: Phone: 800.582.9056 Room: *8818637*