

Meeting Name: Symbology Committee

Date: Wednesday August 26, 2009

Attendees: Vishal Makim (Bank of America Securities), Sean Conahan, Elaine Itkin, Satyam Rawal (Barclays Capital), Linda Tietz (BNY Mellon), Carl Miedaneri, Robert Petro (BOX), Michael Hartig (Capco), Steve Chodash (CBOE), Jim Hohn (CDCC), Nancy Adams (Charles Schwab), Ray Carlin, Antone Granada, Sanjay Shah (Credit Suisse), Bob Curtis, Tara Musser (CSS Software), William Delaney, Jennifer Di-Lauro, Michael Fitzgerald, Michael Sween (Duetsche Bank), Jean-Guy Cote (FBN-CA), Susan Loverde (Fidelity), Lee Fuller (Fidessa), Manisha Kimmel (FIF), Claudia Rericha (Goldman Sachs), Stephen MacDonald (IBM), Arun Vasu Nair (InfoSys), Jill Bolen (Interactive Data), Mark Grinbaum (ISE), Bill Metzger, David White, Beth Wolde (JP Morgan Chase), Ted de Wit (Legent), Pete Peluso (Merrill Lynch), Gary Franklin (Morgan Keegan), Danielle Kuchinskias (Morgan Stanley), John Shelton (NASDAQ OMX), Julia Beck, Bill Erickson, Karen Glad, Dave Harrison, Doreen Scheulin (OCC), Tom Brown (Omgeo), Joe Corrigan (OPRA), Carmine Barbato (Price Waterhouse), Brian Bradway, Dan Giddens, Marie Hughes, Gene Lane, Bryan Palas, Richard Rydlewski, Denise Siebert, Leanne Stumph (Raymond James), Brian Connor, Peter Stephenson (Scottrade), Lisa Becker-Erl (SFB Markets), Richard Bommer (SIFMA), Lisbeth Soudier (Societe General Americas), Mary Hanan, Michael McAllister (Southwest), Denise Martin (Stephens), Liz Miller (TD Ameritrade), Thomas Boyce (TD Securities), Aparna Devershetty (Thomson Reuters), Dena Shipp (Trade Station), Richard Motola, Banerjee Preeti, John Scott (UBS), Navin Surana (Westwater), Mike Dorman (William Blair).

Key Discussion Points:

Agenda

Industry Updates

Dave Harrison, OCC opened up the meeting stating that there was no new Industry news, no upcoming seminars or symposium at this time. Manisha Kimmel, FIF reported that FIF was going to hold an OSI communications meeting, inviting Broker Dealers and Vendors to participate, to be held in New York. As soon as the details have been finalized a link will be sent out to this committee.

Scripted Industry Testing

Karen Glad, OCC reminded everyone registration for the September Beta testing will close on 09/01/09 at close of business. The next Scripted Industry Testing meeting is scheduled for Friday, 08/28/09 @ 9:00 a.m. The focus of this meeting will be to review the updated testing scripts, which will be published to the website this afternoon.

A link to the OSI Testing Reference Guide was added to the meeting reminder, included in this document is what environments will be available for testing for OCC and the Exchanges. ISE will have both testing and production test environments available for Saturday testing. CBOE will have both testing and cert environments available for testing. This reference guide is for testing information only, Karen will be publishing an implementation reference guide once all details have been completed.

Testing for Options on Futures will be available September thru December, 2009. A calendar will be posted showing all testing dates. Options on Futures internal testing should be included on the products types you submit on the mandatory testing letter due back to OCC prior to 02/12/10.

A question on Long Options Position Reporting (LOPR) and what will happen to the LOPR records on a 3 for 1 stock split. Dave Harrison, OCC was going to direct this question to Gary Grey, FINRA who was not on the call today.

Broker Dealer Sub-Committee Status

Tom Tierney, SIFMA reported that at the last meeting Thursday, 08/17/09 the main issues discussed was the Exchange's production vs. testing environments. Tom reported that both ISE and CBOE will have testing environment available and that NASDAQ and BOX is considering having testing environments available. There was also a LOPR update and a new document was published. SIFMA is going to have an OSI link added to their website, which will be available soon.

Issues/Concerns

A list of symbols and their consolidated symbol spreadsheet will be published by OCC after the January 2010 Expiration.

Next Meeting: Conference Call – September 16, 2009 – 9:00 AM, CT (10:00 AM, ET)

Call in: Phone: 800.582.9056 Room: *8818637*