



FACT SHEET

Industry
Financial Services

Founded
1973

Locations
Chicago, Illinois
Dallas, Texas
Washington, D.C.

Leadership



Craig S. Donohue
Executive Chairman



John P. Davidson
Chief Executive Officer



Scot E. Warren
Executive Vice President
& Chief Operating Officer

ABOUT OCC

OCC is the only central counterparty for all U.S. exchange-listed options trades – something we have been doing for more than 45 years. We work to manage risk for those involved in options trading by being the buyer to every seller and the seller to every buyer. By providing this stability and market integrity, we ensure confidence in the financial markets and the broader economy.

We also are the leading provider of unbiased, online educational content for users of these markets; whether it is individual investors who want to learn the basics or knowledgeable investors who want to use more complex options trading strategies.

This confidence in stability and education for the future contributes to why we call OCC the foundation for secure markets.

2018 BY THE NUMBERS

Total Contracts Cleared

5,242,089,870

Cleared Options Volume

4,572,482,342

Equity Contracts

Cleared Futures Volume

7,066,292

Single Stock Futures Contracts

564,719,177

Index Contracts

97,822,059

Index/Other Futures Contracts

Margin Held at Year End

\$112,600,000,000

2018 HIGHLIGHTS

- Named 2018 Clearing House of the Year – The Americas, by *Futures and Options World*
- Named 2018 Best Clearing House by Markets Media
- Standard & Poor’s reaffirmed OCC’s AA+/Stable Rating
- First SEC-regulated Systematically Important Financial Market Utility (SIFMU) to receive regulatory approval of Recovery Tools and Recovery and Orderly Wind-down Plan
- Cleared an average of over 20 million contracts per day – highest ever annual cleared volume
- Securities lending CCP activity increased 17.2 percent in 2018 with 1.37 million transactions*

Who We Serve

≈100

Clearing Members

16

Options Exchanges

3

Futures Exchanges

3

Regulators

1

Stock Loan Alternative Trading System

* Beginning in October 2018, OCC adjusted its reporting of stock loan transactions and average daily loan value to better reflect prevailing industry standards. OCC now reports average daily loan value and number of transactions based only on loans; previous reporting was based on both loans and borrows.

No statement is to be construed as a recommendation to purchase or sell a security, or to provide investment advice. Options involve risk and are not suitable for all investors. Prior to buying or selling an option, a person must receive a copy of Characteristics and Risks of Standardized Options. Copies of this document may be obtained from your broker, from any exchange on which options are traded or by contacting The Options Clearing Corporation, 125 S. Franklin Street, Suite 1200, Chicago, IL 60606 (options@theocc.com).