



FACT SHEET

Industry

Financial Services

Founded

1973

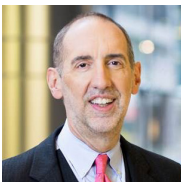
Locations

Chicago, Illinois
Dallas, Texas
Washington, D.C.

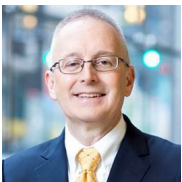
Leadership



Craig S. Donohue
Executive Chairman
and Chief Executive
Officer



John P. Davidson
President and
Chief Operating
Officer



Scot E. Warren
Chief Administrative
Officer

ABOUT OCC

OCC is the only central counterparty for all U.S. exchange-listed options trades – something we have been doing for more than 45 years. We work to manage risk for those involved in options trading by being the buyer to every seller and the seller to every buyer. By providing this stability and market integrity, we ensure confidence in the financial markets and the broader economy.

We also are the leading provider of online, unbiased educational content for users of these markets; whether it is individual investors who want to learn the basics or knowledgeable investors who want to use more complex options trading strategies.

This confidence in stability and education for the future contributes to why we call OCC the foundation for secure markets.

2017 BY THE NUMBERS

Contracts Cleared

4,327,576,930

Cleared Options Volume

3,689,013,636

Equity Contracts

Cleared Futures Volume

14,929,997

Single Stock Futures Contracts

500,533,532

Index Contracts

123,099,765

Index/Other Futures Contracts

Margin Held at Year End

\$122,800,000,000

Who We Serve

≈100

Clearing Members

15

Options Exchanges

3

Futures Exchanges

3

Regulators

1

Stock Loan Alternative
Trading System

HIGHLIGHTS

- Named 2018 Best Clearing House by Markets Media
- Standard & Poor's reaffirms OCC's AA+/Stable Rating
- First clearing house to clear bitcoin futures
- Securities lending CCP activity increases 22 percent in 2017 with 2.3 million contracts
- OCC partners with EquiLend to increase access to CCP clearing in securities finance marketplace