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TO: ALL CLEARING MEMBERS AND EXCHANGES

DATE: OCTOBER 12, 2017

SUBJECT: MyOCC AND ENCORE OUTAGE ON OCTOBER 14 & 15, 2017

Per memo #41456, OCC will be supporting the 2017 RegSCI BC/DR Industry test which will be coordinated in conjunction with the SIFMA and FIA BC/DR Industry tests on Saturday, October 14, 2017.

To accommodate this test, MyOCC/ENCORE sites will be directed to the disaster recovery (DR) environment from approximately 7:00 am to 7:00 pm CT on October 14, 2017. A banner will be displayed on the MyOCC and ENCORE home page indicating that you are currently connected to our DR environment. Any production data, including exercise and assignment activity from weekly expiration, will be available before 7:00 am and after 7:00 pm CT on October 14, 2017. We apologize for any inconvenience this may cause.

There will be an additional outage between 9:00 am to 1:00 pm CT on Sunday, October 15, 2017 for system updates. Please note, during this time OCC will also be supporting MQ production ping tests to validate production connectivity post-DR test. Any firms looking to validate should call 800-621-6072.

If you have any questions, please contact your Clearing Member representative or the Member Services Help Desk at the following numbers: 800-621-6072 or 800-544-6091. Within Canada, please call 800-424-7320. Clearing Members may also e-mail us at memberservices@theooc.com.