



**THE FOUNDATION
FOR SECURE
MARKETS**

#45032

TO: ALL CLEARING MEMBERS

DATE: MAY 15, 2019

SUBJECT: OCC TRAINING SESSIONS

OCC will continue to provide education to support our Clearing Members by hosting monthly training sessions beginning in May. These sessions will be held via WebEx and will focus on various aspects of OCC including operations and risk while providing a high-level overview of the process and the functionality within ENCORE. Training will be geared towards new ENCORE users or users that need a refresher. Below is a schedule of sessions and meeting dates. OCC will post another memo as future dates are determined.

| Sessions | Date |
|-------------------------|------------------------------|
| Collateral | May 29, 2019 at 10:00 AM CT |
| OCC's STANS Methodology | June 27, 2019 at 10:00 AM CT |
| ENCORE 101 (Options) | TBD July |
| Risk Management | TBD August |
| Stock Loan | TBD September |
| ENCORE 101 (Futures) | TBD October |
| Expiration | TBD November |

Descriptions of each training session are included below.

Collateral: This session will provide an in-depth overview of the ENCORE screens related to collateral processing at OCC. In addition to the collateral processing screens, applicable collateral reporting available to Clearing Members will be reviewed.

OCC's STANS Methodology: This session will provide an in-depth overview of the STANS Methodology at OCC. This training will be geared towards individuals who are looking for a more in-depth understanding of OCC's margin methodology.

ENCORE 101 (Options): MyOCC topics will include Clearing Member forms, Contact Facility and Market Data. ENCORE topics will include reports, trades, positions, position movements, CMTA agreements, and product Inquiry.

Risk Management: This session will provide a high-level overview of OCC's Margins and Clearing Fund. This training will be geared towards individuals that would like a refresher on OCC's Risk Management.

ENCORE 101 (Futures): MyOCC topics will include Clearing Member forms, Contact Facility and Market Data. ENCORE topics will include reports, trades, positions, position movements/allocations, and PCS processing.

Stock Loan: This session is an overview of Stock Loan Balancing. ENCORE topics will include Stock Loan Balancing, Buy-In/Sell-Out, and Positions Inventory.

Expiration: MyOCC topics will include Early Prices and Contact Facility. ENCORE topics will include EED/DNEDs, Ex by Ex, reports, and exercise/assignment/settlement.

OCC's STANS Methodology: This session will provide an in-depth overview of the STANS Methodology at OCC. This training will be geared towards individuals who are looking for a more in-depth understanding of OCC's margin methodology.

Detailed meeting information including the date, time and dial in information will be broadcast to options/futures managers one to two weeks in advance of each session.

If you have any questions, please contact your Clearing Member representative or the Member Services Help Desk at the following numbers: 800-621-6072 or 800-544-6091. Within Canada, please call 800-424-7320. Clearing Members may also e-mail us at memberservices@theocc.com.