



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#45949**

**DATE: NOVEMBER 7, 2019**

**SUBJECT: B. RILEY FINANCIAL, INC. - CASH DISTRIBUTION**  
**OPTION SYMBOL: RILY**  
**DATE: 11/13/19**

B. Riley Financial, Inc. (RILY) has announced a Special Cash Dividend of \$0.475 per RILY Common Share. The record date is November 14, 2019; the payable date is November 26, 2019. The ex-distribution date for this distribution will be November 13, 2019.

**CONTRACT ADJUSTMENT**

**EFFECTIVE DATE:** November 13, 2019

**NEW MULTIPLIER:** 100 (e.g., for premium extensions a premium of 1.50 equals \$150.00; a strike of 30.00 yields \$3,000.00).

**CONTRACT MULTIPLIER:** 1

**STRIKE PRICES:** Strike prices will be reduced by 0.475 and rounded to the nearest penny. (For example, a strike of 15 will be reduced to 14.53; a strike of 20 will be reduced to 19.53)

**OPTION SYMBOL:** RILY remains RILY

**DELIVERABLE PER CONTRACT:** 100 B. Riley Financial, Inc. (RILY) Common Shares

**CUSIP:** 05580M108

**DISCLAIMER**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment

decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theooc.com](mailto:investorservices@theooc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theooc.com](mailto:memberservices@theooc.com).