DATE: SEPTEMBER 30, 2019

SUBJECT: AU Optronics Corporation - Symbol Change
OPTION SYMBOL: AUO
NEW SYMBOL: AUOTY
DATE: 10/1/19

The Options Clearing Corporation (OCC) has been informed that AU Optronics Corporation (AUO) will change its trading symbol to AUOTY, effective October 1, 2019, due to the listing of the company on an OTC market. As a result, option symbol AUO will change to AUOTY effective at the opening of business on October 1, 2019.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol AUOTY commencing October 1, 2019.

DATE: October 1, 2019.
OPTION SYMBOL: AUO changes to AUOTY
UNDERLYING SECURITY: AUO changes to AUOTY

CONTRACT MULTIPLIER: 1
STRIKE DIVISOR: 1
NEW MULTIPLIER: 100
DELIVERABLE PER CONTRACT: 100 AU Optronics Corporation (AUOTY) American Depositary Shares
CUSIP: 002255107

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For
both options and futures, each adjustment decision is made on a case by case basis. Adjustment
decisions are based on information available at the time and are subject to change as additional
information becomes available or if there are material changes to the terms of the corporate event(s)
occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES
AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email
investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or,
within Canada, at 1-800-424-7320, or email memberservices@theocc.com.